Digital Transformation in Higher Education Institutions: A Systematic Literature Review

Group 9

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This paper are structured as follows:

- Introduction section makes out the current SLR related to the DT in HEIs, defining the objectives and the research questions.

- Methodology section, the authors state the protocol followed, the process for extracting the relevant data, and describe results of the data extracted process.

-The Discussion section offers a debate in order to answer the research questions.

-The Risk and Validity section presents the risks intrinsic in the SLR.

- Finally, in Conclusions section, inferences are described.

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Introduction

Digital transformation (DT) has become a priority for higher education institutions (HEIs) in this second decade of the 21st century, and this is a natural and necessary process for organizations that claim to be leaders of change and be highly competitive in their domain. If HEIs want to persist in time as a key element of this transformation, and not disappear from the stage, it is necessary that they evolve integrally.

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Remarkably, HEIs face a disruptive scenario that is established in the new business models, ostensibly transforming the way they evolved over time, actively linking internal and external clients, and increasing their commitment and strengthening their experience in the organization

Nevertheless, many universities are developing specific digital strategies in reaction to the massive shift towards using new technology, yet lack the vision, capability, or commitment to implement them effectively



It was used PICO criteria (population, intervention, comparison, outcomes) to identify keywords and defined search strings from research questions.

Population: HEIs.

Intervention: DT processes at HEIs.

Comparison: In this study no comparison intervention has been projected.

Outcomes: Distinctive characteristics of the DT implementation process that have taken place in the HEIs.

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Methodology

Data Sources:

The search was carried out through the electronic databases Web of Science (WoS), and Scopus, as they are the most relevant scientific information platforms that access the scientific databases and the most significant publications of the different areas of knowledge.

Search Strategy

One of the most subtle, but relevant moments of an SLR is the structured search strategy, because it must allow filtering the information available in the databases, so that the selected articles will respond to the questions raised in the investigation, and consequently the stated objective will be fulfilled. The search strategy must allow the completeness of the search to be assessed [8]. In response to this requisite, the words contained in the search strategy, the keywords considered in the PICO model,

Study Selection Process

Studies were selected according to the criteria outlined below: Sensors 2020, 20, 3291 4 of 22 Study designs: We included studies where it could be identified which dimensions of HEIs have been permeated by digital transformation, who has intervened in these processes, their methodology, technologies adopted, among others. Taking into account the suggestion given by [7] using PICO criteria, to identify keywords and defined search strings from the research question:

Population: HEIs.

- Intervention: DT processes at HEIs.
- Comparison: In this study no comparison intervention was projected.
- Outcomes: Distinctive characteristics of DT implementation processes that took place in the HEIs.
- Timing: Between 1980 and April 2019 was selected for inclusion, because the year 1983 is considered as a starting point recognizing the birth of the Internet as one of the foundations of DT.
- Setting: Restrictions by document type, articles and conference proceedings were analyzed.
- Language: Articles reported in English in order to avoid bias, by recognizing this language as the universal language.

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2.4 Study Quality Assessment

Study selection criteria:

Eligibility Criteria

Inclusion/Exclusion Criteria



Eligibility Criteria

- First, article included in its title the "digital transformation" sequence words AND, and second, articles included in its abstract the "digital transformation" sequence words AND, either HEIs or university.
- It was marked with number 1, if the word appeared, and marked with number 0, if it did not.



Inclusion/Exclusion Criteria

Questions define the inclusion/exclusion of articles for reading and analysis. The questions have been classified in the following categories:



1. Study design. Articles that the objective and the process of the DT that was carried out inside the HEI.

- Are all research questions answered adequately?
- Are the main goals of the DT at HEIs stated?

2. System design. Articles that show the dimensions, participants, and/or their relationships in processes of DT of HEIs.

- Does the proposed DT apply to the whole HEI?
- Were all model construction methods to apply DT in HEIs fully defined?



2.5 DATA EXTRACTION

Design of Data Extraction Forms

Data Extraction Procedures



2.5.1 Design of Data Extraction Forms

 Mendeley and Microsoft Excel were the software used to manage data , analyze articles and the reference manager.

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Uses of Mendeley

- Manage the articles from the search in the databases
- Eliminate duplicate references
- Classify the information from each article by underlining with different color according to the category



Uses of Excel

To document and manage data from the following protocol.



2.5.2 Data Extraction Procedures

3 Stages :

Information analysis
 Classification of Information
 Information Extraction

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Information analysis

 For further detailed analysis and classification are done by, the text fragments that are highlighted with different colors using the Mendeley tool.



Classification of Information

 Label codes to assign meaning to the highlighted information, synchronously with the Information Analysis stage



Information Extraction

The text fragments that were highlighted from the first stage were classified according to the codes established in the Classification stage. This requires spreadsheet to manage the result of this stage.



2.6 Data Synthesis

The data is tabulated and displayed to represent :

- Different DT in HEIs definitions is presented in the articles
- Dimensions within a HEI that have established the DT or have been forced to intervene in DT process



3. Results

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Results

Included and Excluded studies.

- How has the DT of HEIs been addressed
- Interrelationships inside DT of HEIs
- OT of HEIs Addressed by Actors



Table 3. Records obtained.

Criteria	Filters	Scopus	Web of Science (WoS)
Restriction	Topic (title, abstract, author keywords) 2001–2019. The first article published in	129	31
Period	WoS was in 2001 1980–2019 Scopus	128	30
Document type	Articles and conference proceedings	107	30
Language	English	100	19
Total		119	

Scopus: A bibliography database containing abstracts and citation for academic journal articles

Bibliography: A list of the boos of a specific author or publisher or on a specific subject

WoS: A website that provide subscription-based access to multiple database that provide comprehensive citation data for many academic disciplines

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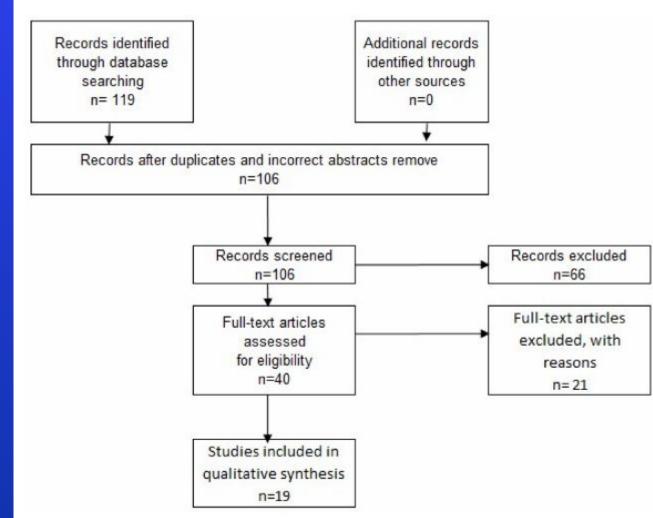
Table 4. Number of elected p	papers.
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Criteria	Papers	
Articles Elected	40	
Excluded articles	21	

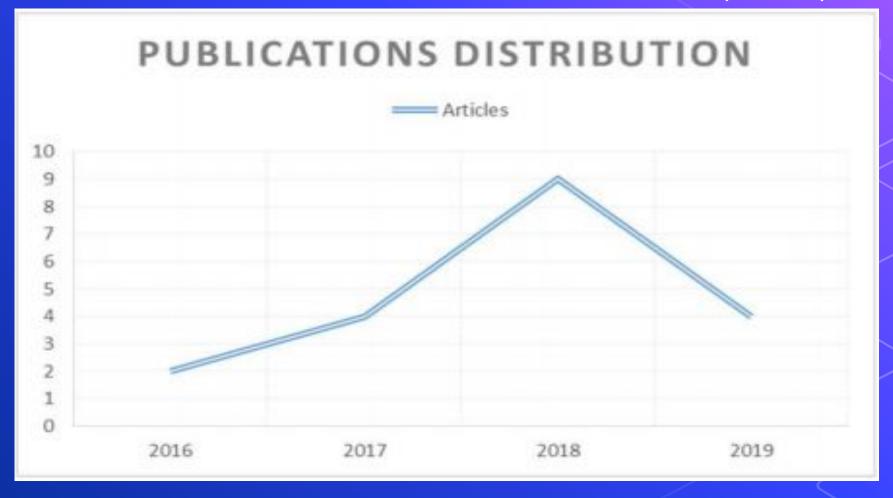
Table 5. Full reading papers included.

Criteria	Papers	
Full reading papers	19	
Excluded articles	21	

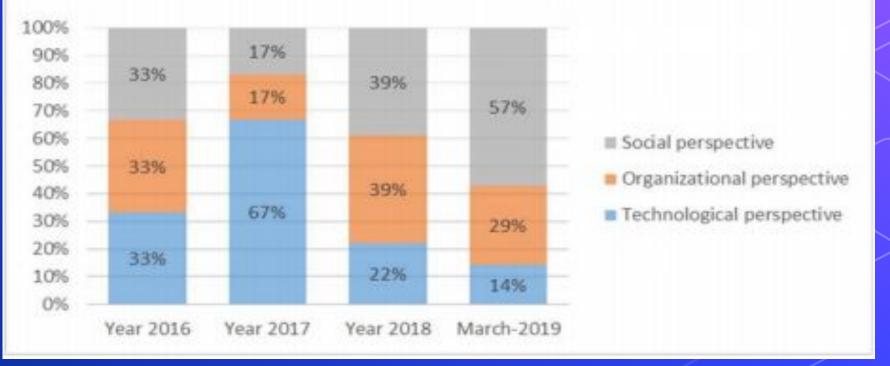


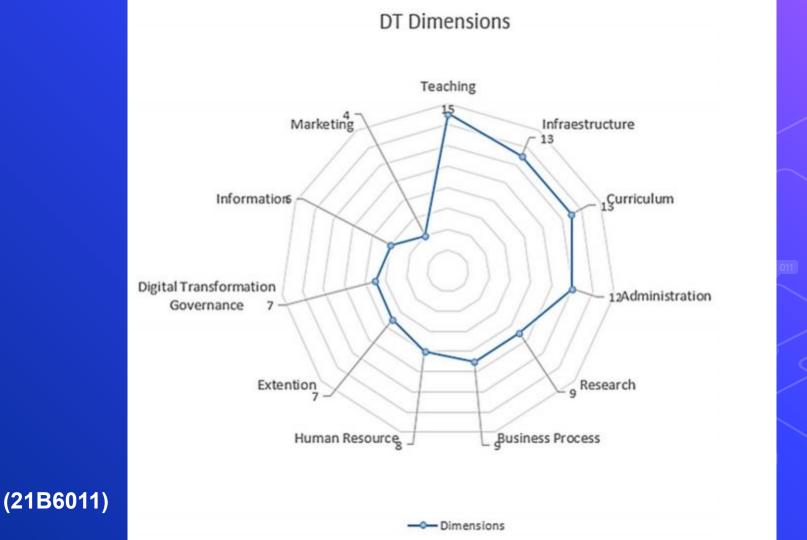




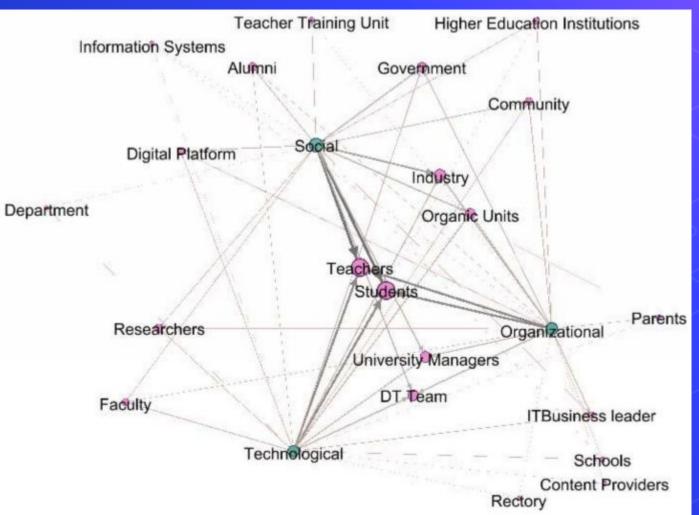


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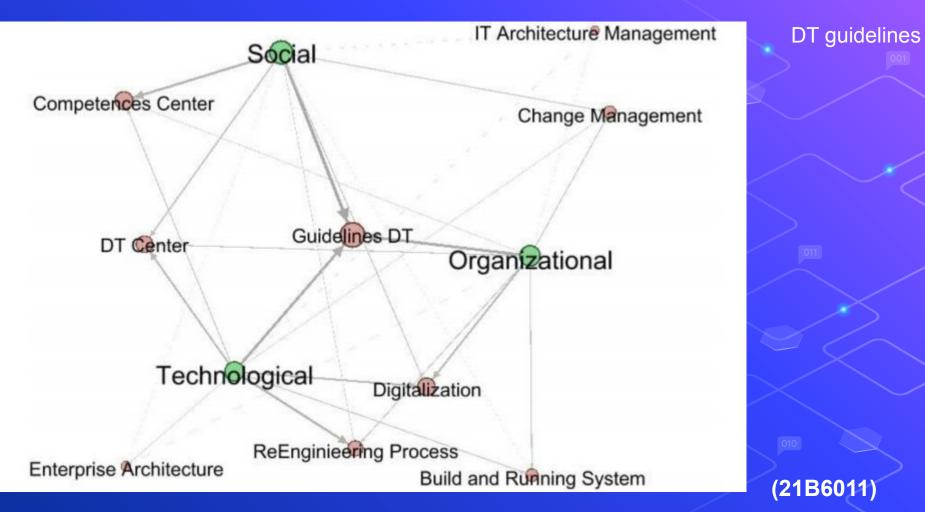


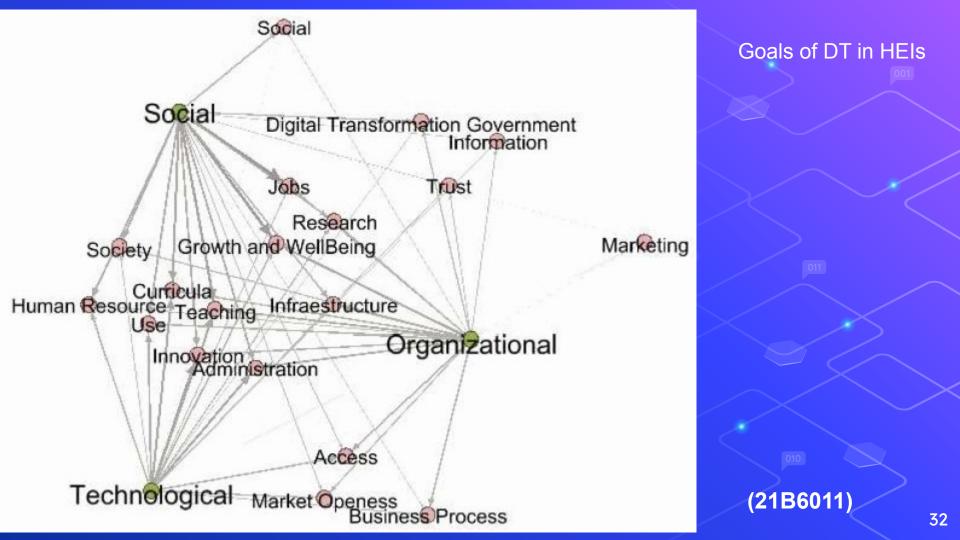
Interrelationships inside DT of HEIs

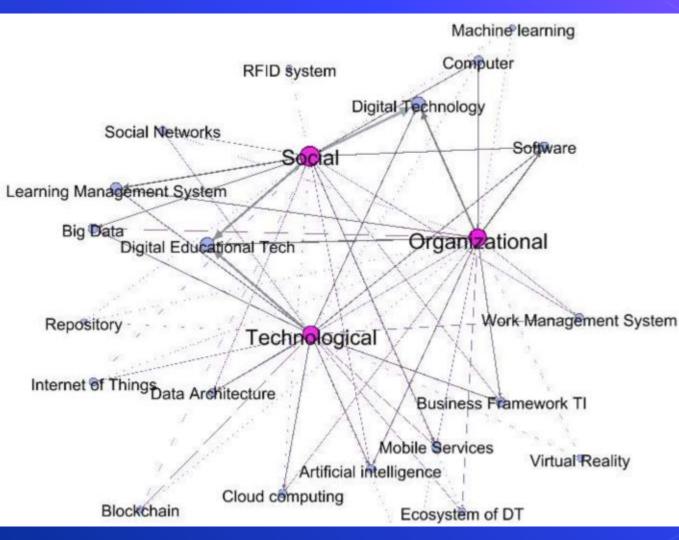


Relevancy of actors. Actors: A participant or party in an action or process

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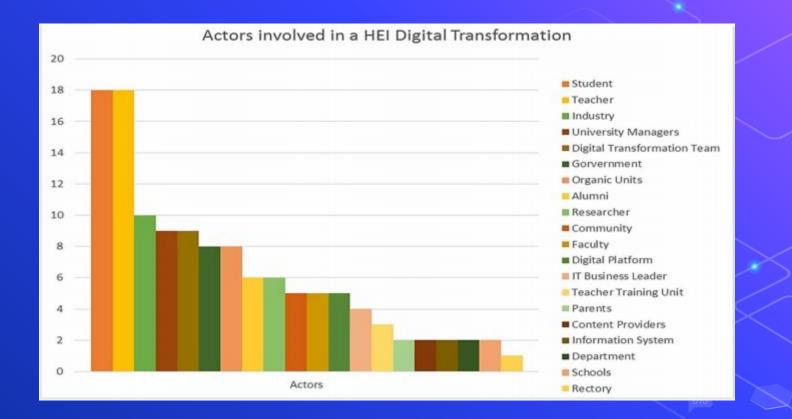




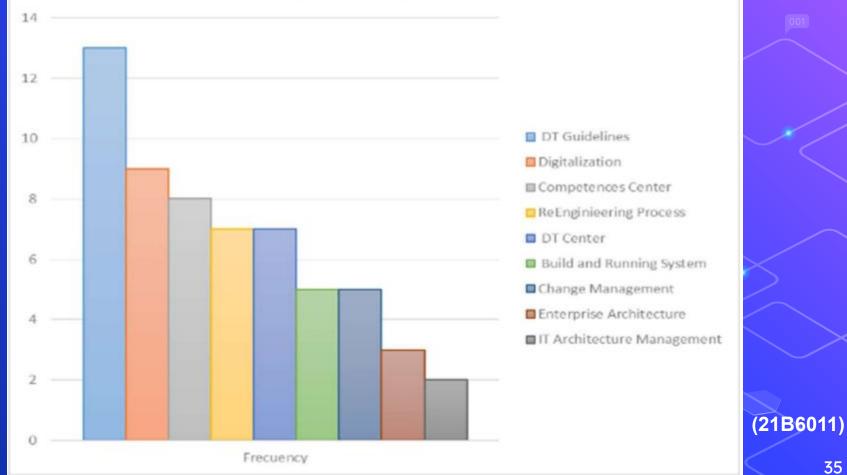


Technologies used in DT of HEIs

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DT Implementing Methods



4. Discussion

Main Distinctive Characteristic
*Digital Transformation's definition & consensus in HEIs not reached.
*DT as an disruptor, fundamentally changing industries.

*Both technology and social perspective required for successful transformation.
*DT integrated in teaching, learning and organizational practices.

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*Implementations of DT in HEIs differs depending on interest.

*Order of categories most affected by DT in HEI:

- 1. Education
- 2. Infrastructure
- 3. Curriculum
- 4. Business administration



4.2 DT in HEIs Dimensions

- *Digital platforms and contents for teaching and
- **learning.** Meet current educational standards.
- *Digital infrastructure for teaching
- *Digital literacy and digital skills New workforce.
- *Data and security infrastructure Cybersecurity improvements.
- *Software infrastructure for HEIs A greater challenge on the level security, data protection & regulation.
- *Curriculum modernization Adaptive & flexible platform.
- ***Digital curriculum** Improvised educational standards ***Information dimension** – Educational materials
- *Business process dimension Digital services.

Remarkable Relationships of DT of HEIs

Notable relationships includes the <u>actors</u> involved, <u>goals</u> that guides DT processes, employed <u>methods</u> and the <u>technologies</u>.

Actors, goals, methods and technologies differs slightly depending on the approach.

Actors

Social Perspective	Organizational Perspective	Technological Perspective
Students	Students	Students
Teachers	Teachers	Teachers
Industry	Organic units	University managers
Organic units	University managers	DT team
Digital platforms	Business leader	Faculty
Government	Content providers	Researchers
Teacher training unit	Rectory	
Information systems	Schools	
Community		



Social Perspective: Positively impact society, develop job skills, contribute to the growth and wellbeing of actors, improve HEIs credibility, afford the digital transformation of government, remove time and space barriers, and promote access to education, which positions the human resources as a vital element to achieve DT, and to adapt to make curricula more flexible.

Organizational Perspective: Improving infrastructure, business process, administration, teaching, curricula, job, access, market openness, research, and digital marketing, as being novel aspects to consider.

Technological Perspective: Provide technology to support human resource, teaching, innovation, administration, access, market openness, building process, society, and research.



Social Perspective: Digital technology, social networks, learning management systems, big data, digital education tech, software, machine learning, computers, and RFID systems.

Organizational Perspective: Work management systems, business frameworks, digital technology, computers, and software.

Technological Perspective: Digital educational tech, internet of things, data architecture, cloud computing, blockchain, mobile services, ecosystem of DT, virtual reality, business framework, and work management systems.

4.2.2 Addressing of the DT in HEIs by Different Actors

Students & teachers are the main actors in DT processes in HEIs.

Students: Opportunity to study without barrier of space and time. HEIs providing flexible curricula, digital learning and digital educational content.

Teacher: Innovate teaching, research, working process, and management experience. Impart digital services offered at a university level.

University managers: Opportunity to optimize process management within organic units and the university. Improve data and information usage in all the decision support processes. **DT Team**: Need of a specialized team with strong leadership that is capable to explain and implement the DT in HEIs.

Content providers: Boost the quality and accessibility of contents provided by a university education.

Faculty: Improve productivity in teaching and provide new innovative digital experiences.

Academic department: Provides for curriculum modernization, and administration processes.

4.2.3 Route Established by HEIs to Carry Out Their DT

Guidelines DT in HEIs

*Requires well planned digital technology *Necessary resources

Re-engineering process

*Requires an extensive re-engineering of all supporting processes. *Innovative approach to succeed.

Build and running system

*Goes hand in hand with building a system that supports the HEI business processes. (<u>2 Stages were identified</u>)

- Platform should dematerialize the full range of business processes of the University in a relatively short time.
- The WMS was expected to promote the harmonization, consolidation, and optimization of the working procedures.

DT Center

*Implementations of DT in university business process system requires the creation of a directory of administrative service for the training process, internal research, personnel management, and infrastructure management.

Competence Center

*A strategic resource supporting the development of human resources of the HEI.

*Digital capabilities includes someone who is living, learning and working in a digital society and are <u>key enablers</u> of the university DT processes.

Change Management

*To successfully execute of a project of the characteristics immersed in a DT in the HEI, it's of importance to lessen the <u>potential negative effects</u> of digital disruption.

Discussion

4.3 Risks To Validity









Not all the papers submitted will be accepted



Due to different uses of scientific databases

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The search string did not contain papers that specifically addressed the DT in HEIs



Complexity of the DT in HEIs

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To minimize this risk,

Suthors followed the checklist mentioned in the quality assurance checklist.

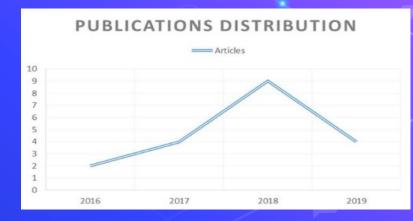




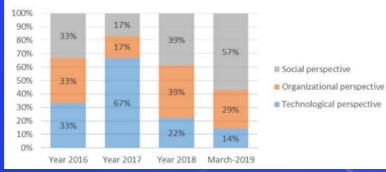
The social, organizational, and technological aspects of DT in HEIs have all been considered.

- The rise in the number of articles published in recent years demonstrates HEIs' desire to achieve their DT (*Figure 2*).
- Furthermore, we discovered that the tendency has a defined social importance, implying that researchers are aware of the importance of human resource skills and capacities in completing DT projects successfully *(Figure 3).*

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- According to the article, the following components within a HEI have been affected by DT processes:
 - teaching, infrastructure, curriculum,
 administration, research, business process,
 human resource, extension, digital
 transformation governance, information, and
 marketing (*Figure 4*).
- The previous measures the DT process's complexity, and no article has addressed every one of them.

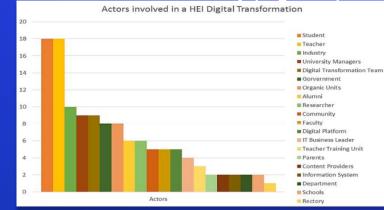


Figure 4

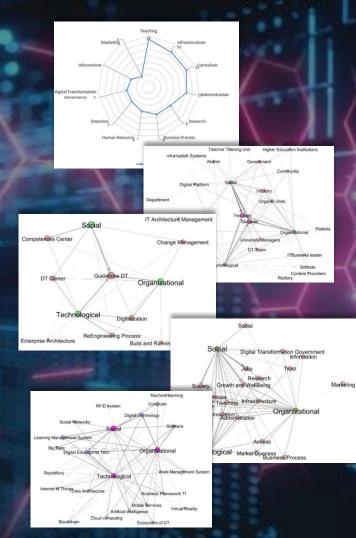
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The following actors have been recognized for being involved in the DT processes at HEIs, either as leaders or as beneficiaries:

students, teachers, industry, university managers, digital transformation team, government, organic units, alumni, researchers, community faculty, digital platforms, IT business leaders, teacher training units, parents, content providers, information systems, departments, schools, and rectory *(Figure 9)*.



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The role that these actors play is determined by

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the dimension and perspective addressed in the DT process (Figures 4–8).





Each of the articles examined highlighted the variety of methods in which DT has been addressed in HEIs. Needs rethinking, restructuring, and reinventing due to its multi-purpose, multi-processes, multi-disciplinary, multi-state, and multi-actorial nature.

It is a collaborative effort

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Imply both technological advances and more transcendental.

Handling papers piece by piece, demonstrates the lack of adoption techniques for these types of initiatives at the holistic level.



Considerations need to be taken; internal digital capabilities, as well as its own prospective outlook.



Research on the idea and strategies for implementing DT in HEIs should be improved.



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